



**PATENT** 

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Tomio SUZUKI

Serial No.: 09/954,712

Art Unit: 2874

Filed: September 18, 2001

Examiner: Not Assigned

Confirmation No.: 7619

For: MICROHOLE ARRAY, OPTICAL FIBER ARRAY, CONNECTOR, AND

PROCESS FOR PRODUCING MICROHOLE ARRAY

**Box Missing Parts Assistant Commissioner for Patents** Washington, D.C. 20231

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail addressed to Box Missing Parts, Assistant Commissioner for Patents, Washington D.C. 20231 on September 23, 2002.

Elizabeth A. VanAntwerp

### REQUEST FOR CLARIFICATION OF STATUS

Sir:

A Notice to File Missing Parts of Non-provisional Application was mailed in connection with this application on October 19, 2001. Applicants responded to the Notice to File Missing Parts on December 13, 2001 (please see the Completion of Filing Requirements attached hereto as Appendix A), and those documents were received by the PTO on January 7, 2002 (please see our postcard receipt attached hereto as Appendix B).

Part of the documents filed December 13, 2001 (and received by the PTO on January 7, 2002) included the original Assignment document, which has since been recorded by the U.S. PTO and returned to applicant's undersigned representative (please see the Notice of Recordation of Assignment document attached hereto as Appendix C). The PTO PAIR system, however, does not indicate that the documents filed December 13, 2001 were ever received at the U.S. PTO. In fact, there are no entries on the PTO PAIR system for this application subsequent to the Notice to File Missing Parts mailed October 19, 2001.

In view of the foregoing, the PTO is requested to clarify the status of this application. The documents in Appendices B and C hereto clearly prove that all documents filed

December 13, 2001 were received by the PTO on January 7, 2002. Should the PTO need additional copies of any of these documents, the appropriate official is requested to contact applicant's undersigned representative.

Respectfully submitted,

September 23, 2002

Date

stephen P. Burr

Reg. No. 32,970

SPB/eav

BURR & BROWN P.O. Box 7068 Syracuse, NY 13261-7068

Customer No.: 025191 Telephone: (315) 233-8300 Facsimile: (315) 233-8320



Page 1 of 2

Application Number	09/954,712	Lhereby certify that on December 13, 2001 this
Filing Date	September 18, 2001	correspondence is being deposited with the United States." Postal Service as first class mail addressed to:
First Named Inventor	Tomio SUZUKI	Box Missing Parts
Art Unit	2874	Assistant Commissioner for Patents Washington D.C. 20231
Examiner Name	Not Assigned	Elistrat a Valition
Confirmation No.	7619	Elizabeth A. VanAntwerp
Attorney Docket No.	791_161	

Box Missing Parts Assistant Commissioner for Patents Washington, DC 20231

# COMPLETION OF FILING REQUIREMENTS - NONPROVISIONAL APPLICATION

Sir:

- 1. ☑ This replies to the Notice to File Missing Parts of Nonprovisional Application mailed October 19, 2001.
  - A copy of the Notice to File Missing Parts of Nonprovisional Application—Filing Date Granted is enclosed.

#### 2. Declaration or Oath

☑ No Declaration or Oath was filed. Enclosed is the original Declaration or Oath for this application.

# 3. Transmittal of English Translation of Non-English Language Papers

Submitted herewith is an English translation of the non-English language application papers (*including drawings*) as originally filed. Also submitted herewith is a statement by the translator of the accuracy of the translation. It is requested that this translation be used as the copy for examination purposes in the PTO.

#### 4. Other Papers Enclosed

- A Supplemental Bibliographic Data Sheets (two pages)
- ☐ A Preliminary Amendment
- A Submission of Certified Copies of Priority Documents and certified copies of:

JP 2001-162148 filed May 30, 2001 and JP 2001-223931 filed July 25, 2001

- An Information Disclosure Statement
- An Assignment with Assignment Transmittal

Dasic Limita Lee	37 CFR §1.16(a))					1	
CLADAC		NUMBER EXTRA	D.A.TITE			\$	740.0
Total Claims	<b>21</b> - 20 =		-	RATE			
Indep. Claims	4 - 3 =	1	×	\$	18.00	\$	18.00
	T CLAIM(S) (if applicable)	. 1	×	\$	84.00	\$	84.00
			+	\$	280.00		
<ul><li>6. Surcharge Fees (3)</li><li>☑ late payment of or</li><li>☑ late filing of or</li></ul>		\$	130.00				
conditional pen	ves that no extension o tion is being made to p advertently overlooked ne.	rovide for the possil	.:1:4-	41	_		
	\$	972.00					
. Small Entity Status  ☐ Applicant claim indicated above	s small entity status. S are reduced by 1/2.	ee 37 CFR 1.27. Th	e fee	es ——			
· · · · · · · · · · · · · · · · · · ·					TAL =	\$	972.00
Fee for processing an application filed with a specification in a non- English language (37 C.F.R. 1.17(i) and 1.52(d))							130.00
Assignment Fee (37 CFR §1.21(h))							40.00
Assignment Fee	· · · · · · · · · · · · · · · · · · ·					\$	
	d Authorization to Ch	ТОТА				\$	1,142.00

Submitted E	By:					
Name	Stephen P. Burr		32,970	Customer No.	025191	
		Telephone	(315) 233-8300	Facsimile	(315) 233-8320	
Signature	1 dyny/	~		Date	December 13, 2001	



the

Your mail room stamp hereon will acknowledge receipt of a Completion of Filing Requirements - Nonprovisional Application (in duplicate), a Supplemental Bibliographic Data Sheets (two pages), a copy of Formalities Letter dated October 19, 2001, a combined Declaration and Power of Attorney (3 pages), a verified English Translation of non-English application as originally filed including drawings, a Submission of Certified pies of Priority Documents and certified documents of JP 2001-162148 (5-30-01) and JP 2001-223931 (7-25-01), an Information Disclosure Statement, Form PTO-1449 and one reference, an Assignment Transmittal and Assignment, and our check in the amount of \$1,142.00 for:

JAH 0. 7 2002

Applicant(s):

Tomio SUZUKI

Ser. No.: 09/954,712

Date Filed: September 18, 2001

Our Docket No. 791\_161

Art Unit: 2874
Examiner: Not Assigned

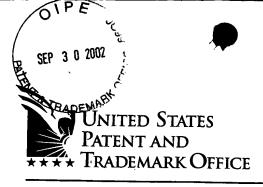
Conf. No.: 7619

Title: MICROHOLE ARRAY, OPTICAL FIBER ARRAY, CONNECTOR, AND

MICROHOLE ARRAY MANUFACTURING METHOD

Date of Mailing: December 13, 2001

SPB/eav





791.161

MARCH 06, 2002

PTAS

BURR & BROWN STEPHEN P. BURR P.O. BOX 7068 SYRACUSE, NY 13261-7068 Chief Information Officer Washington, DC 20231 www.uspto.gov



UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 01/14/2002

REEL/FRAME: 012445/0849

NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

SUZUKI, TOMIO

DOC DATE: 10/29/2001

ASSIGNEE:

NGK INSULATORS, LTD. 2-56, SUDA-CHO, MIZUHO-KU NAGOYA, AICHI-PREFECTURE 467-8530

JAPAN

SERIAL NUMBER: 09954712

PATENT NUMBER:

FILING DATE: 09/18/2001

ISSUE DATE:

STEVEN POST, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

